



BrokerCheck Report

BALLEWTRADE INVESTMENT

CRD#: 32028/SEC#: 8-45660

<u>Section Title</u>	<u>Page(s)</u>
Firm Profile	1
	2 - 3
Firm History	4



This report summary provides an overview of the brokerage firm. Additional information for this firm can be found in the detailed report.

Firm Profile

This firm is classified as a limited liability company.

This firm was formed in mississippi on 12/04/1992.

Its fiscal year ends in December.

Firm History

Information relating to the brokerage firm's history such as other business names and successions (e.g., mergers, acquisitions) can be found in the detailed report.

Firm Operations

This firm is registered with:

- the SEC
- 1 Self-Regulatory Organization
- 53 U.S. states and territories

Is this brokerage firm currently suspended with any regulator? **No**

This firm conducts 3 types of businesses.

This firm is affiliated with financial or investment institutions.

This firm has referral or financial arrangements with other brokers or dealers.

Disclosure Events

Brokerage firms are required to disclose certain criminal matters, regulatory actions, civil judicial proceedings and financial matters in which the firm or one of its control affiliates has been involved.

Are there events disclosed about this firm? **No**

Firm Profile

This firm is classified as a limited liability company.

This firm was formed in mississippi on 12/04/1992.

Its fiscal year ends in December.

Firm Names and Locations

This section provides the brokerage firm's full legal name, "Doing Business As" name, business and mailing addresses, and any alternate name by which the firm conducts business and where such name is used.

CIFC INVESTMENT

Doing business as BALLEWTRADE INVESTMENT

CRD#: 32028/SEC#: 8-45660

Main Office Location

4800 I-55 NORTHSUITE

21JACKSON,

MS 39211

UNITED STATES

Regulated by FINRA Los Angeles Office

Mailing Address

4800 I-55 NORTHSUITE

21JACKSON,

MS 39211

UNITED STATES

